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APPLICATION NUMBER	RECEIVED OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/820,067	04/08/2004	Jessica Baker Flechtner	MJV-012

23517  
 SWIDLER BERLIN SHEREFF FRIEDMAN, LLP  
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 WASHINGTON, DC 20007

## FORMALITIES LETTER



\*OC000000013044647\*

CONFIRMATION NO. 7221

Date Mailed: 06/23/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

14234.0009.5DS  
**DOCKETED**  
 6/28/04  
 missingparts/seq.listing-8/23/  
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- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*Lem*  
*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY



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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	J. Flechtner et al.	Confirmation No.:	7221
Serial No.:	10/820,067	Art Unit:	1653
Filed:	April 08, 2004	Examiner:	To be assigned
For:	Improved Heat Shock Protein-Based Vaccines and Immunotherapies	Attorney Docket No:	8449-406-999 (Formerly: MJV-012)

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

MAIL STOP MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application ("Notice") mailed on June 23, 2004 and in connection with the above-identified application, Attorneys for Applicants respectfully submit herewith the following: (1) a Petition for Extension of Time (in duplicate), for five months from August 23, 2004 up to and including January 23, 2005 accompanied by a provision authorizing payment of the required fee; (2) a Declaration identifying the above nonprovisional application executed by Jessica B. Flechtner, Keyna Prince-Cohane, Sunil Mehta, Paul Slusarewicz, Sofija Andjelic and Brian H. Barber in six counterparts on April 14, 2004; May 03, 2004; May 13, 2004; May 04, 2004; April 28, 2004 and April 15, 2004, respectively; (3) Transmittal of Sequence Listing and Statements Under 37 C.F.R. § 1.821(f) and (g); (4) Sequence Listing in paper and computer readable form; (5) Preliminary Amendment; (6) Substitute Specification, marked-up version and an accompanying clean form; and (7) a return copy of the Notice. It is requested that the enclosed documents be entered in the file of the above-identified application.

Applicants also authorize the United States Patent and Trademark Office to charge the required fee for late filing of the Declaration, which is believed to be \$65.00 (small entity), as indicated on the Notice, to Jones Day Deposit Account No. 50-3013. A duplicate copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: January 21, 2005

*Adriane M. Antler* 32,605  
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